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**HIERARCHICAL STORAGE SYSTEM**

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### **BACKGROUND OF THE INVENTION**

[0001] Network storage arrays can use redundant data copies of data segments or entire records to perform useful data handling or processing functions and to ensure data availability. In one example, a storage system configuration can use multiple disks to store data. An application host creates new data that is written on a primary mirror disk. A disk controller responds to writes to the primary disk by updating the data changes to a secondary disk automatically. The secondary disk has read-only access from a backup and data mining host system, unless suspended. The mirrored pair has multiple states including an initial creation copy state with full out-of-order copying, a pair state with updated data sent, perhaps out-of-order, a suspended state with consistent and usable but stale data, and a resynchronize state in which data is inconsistent with out-of-order copying. Secondary data is only usable, consistent, and writeable during the suspended state.

[0002] With existing high-end disk array internal volume copy products, the time duration to transfer all primary volume data to reside on the secondary volume can be very long. At typical internal copy speeds of forty to eighty Megabytes per second, user volumes with a size in the range from hundreds to thousands of gigabytes can last several minutes. During the interim, substantial data loss can occur in the event of a disaster or catastrophe brought on by disturbances as common as a power loss or outage. Users are highly sensitive to the vulnerability inherent in the long copy times that exposes even the primary data to potential loss until the copy completes.

**[0003]** The highly vulnerable copy operation can be a common occurrence for purposes including data warehouse applications, data backup, application testing, and the like so that the loss potential is a frequent worry of users.

**[0004]** Virtual copy techniques exist that simulate or feign completion of the operation before the data has actually transferred. Such techniques utilize frantic out-of-order background copying if the user actually requests the data from the secondary volume. The known techniques have imperfections in that while the secondary volume reader is given the illusion of full data availability, failure of the primary volume prior to completion of a full copy leaves the secondary volume reader with inconsistent and unusable data.

**[0005]** Although additional storage for data handling is desirable, high-performance, highly-reliable storage is a large expense in high-capacity operations. Traditional disk arrays have two levels of hierarchical storage including volatile solid state cache and shared memory on one level, and non-volatile high-performance, high-priced (3-5 cents per megabyte) Small Computer Systems Interface or Fibre Channel (SCSI/FC) rotational storage. The high-priced rotational storage is generally allocated for high-quality enterprise usage, and considered too valuable for temporary or low frequency usage.

### **SUMMARY**

**[0006]** What is desired is a storage system and operating method that more efficiently and cost-effectively uses storage resources.

**[0007]** In various embodiments, a storage system comprises a storage array containing a plurality of storage devices of at least three types and having a respective class hierarchy, and a controller. The controller is coupled to the storage device hierarchy and can execute an hierarchical storage management capability that selectively controls access to the hierarchy of storage devices.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[0008] Embodiments of the invention relating to both structure and method of operation, may best be understood by referring to the following description and accompanying drawings.

[0009] **FIGURE 1** is a schematic block diagram that illustrates an embodiment of an hierarchical storage system.

[0010] **FIGURE 2** is a schematic block diagram depicting an example of a suitable storage array controller that can be used in an embodiment of an hierarchical storage system.

[0011] **FIGURE 3** is a schematic block diagram showing an example of a disk adapter that can be used for one of the levels of storage in the illustrative embodiment of the hierarchical storage system.

[0012] **FIGURE 4** is a schematic block diagram showing an example of a disk adapter that can be used for another of the levels of storage in the illustrative embodiment of the hierarchical storage system.

[0013] **FIGURES 5A and 5B** depict a schematic block diagram and a pictorial diagram showing an embodiment of a storage system.

[0014] **FIGURE 6** is a schematic block diagram illustrating an embodiment of a storage system that can execute a method for managing information storage.

[0015] **FIGURE 7** is a flow chart showing an embodiment of a method of managing information storage in a storage system.

## **DETAILED DESCRIPTION**

[0016] Referring to **FIGURE 1**, a schematic block diagram depicts an embodiment of an hierarchical storage system **100**. The storage system **100** comprises a storage array **102** containing a plurality of storage devices **104** of at least three types **106**, **108**, and **110** having a respective class hierarchy. The storage system **100** also comprises a controller **112**. The controller **112** is coupled to the storage device hierarchy and is capable of executing an hierarchical storage management capability that selectively controls access to the hierarchy of storage devices **104**.

[0017] In some embodiments, the storage array **102** contains an hierarchy of at least three types of storage devices **104** wherein the class hierarchy is an hierarchy based on storage device performance. In other embodiments the class hierarchy is based on economic factors such as cost per unit of storage.

[0018] In an illustrative embodiment, the first storage device type **106** is a solid state cache and shared memory that supplies storage for a first level of hierarchical storage. The second storage device type **108** is composed of relatively higher performance Small Computer Systems Interface (SCSI) and/or Fibre Channel (FC) storage devices supplying storage for a second level of hierarchical storage. The third storage device type **110** is composed of relatively lower performance Serial AT-attached (SATA) storage devices supplying storage for a level of hierarchical storage. The controller **112** further comprises an executable process that allocates storage capacity of the SATA storage devices **110** to low access customer data and to short-term and unpredictable storage usage.

[0019] AT-attached devices (ATA), precursors to SATA drives, have conventionally been confined to the desktop market on the basis of cost and less-than-mission-critical application. Differentiators that separate ATA/SATA drives from Fibre Channel and SCSI competitors are speed and reliability. ATA and SATA drives usually operate at speeds sometimes substantially below 10,000 revolutions per minute (RPM), usually the low limit for SCSI drives. In terms of reliability, the mean time before failure (MTBF) for ATA/SATA desktop drives commonly is in a range of a few hundred thousand hours

while SCSI drives are typically rated above one million hours. More recently, some SATA drives have improved reliability and operate at speeds between 5000 RPM and 7500 RPM at more than a million hours of operation.

[0020] In other embodiments, performance can be defined by parameters separate from or in addition to rotational disk revolution speed. For example the multiple levels of storage drives can be set to short stroke by limiting the number of accessible cylinders.

[0021] The illustrative storage system **100** includes both higher price and performance storage devices at one storage level **108** and a lower price and performance devices at another storage level **110**.

[0022] In some embodiments, the controller **112** or another hierarchical storage management controller can be used within a storage array **102** that is a disk storage array utilizing Fibre Channel (FC) and SATA disk drives as the second level of storage **108** and that allocates SATA storage as the third storage level **110** as uncommitted and unstructured storage.

[0023] In some embodiments, the controller **112** or another hierarchical storage management controller can be used within a storage array **102** that is a disk storage array utilizing Fibre Channel (FC) and SATA disk drives as the second storage level **108** and that allocates SATA storage as the third storage level **110** for intra-array and/or inter-array data transfers including logical unit (LUN) copies and snapshots.

[0024] In some embodiments, the third level SATA storage **110** can be used for intermediate storage, for example as a temporary repository for data en route to eventual archiving on tape, as a destination for remote volume mirroring. Some applications may utilize target storing for copy services including a snapshot repository, a destination for remote volume mirroring, and electronic vaulting. The SATA storage **110** can also be used for tiered storage for applications with multiple variable performance, availability, and cost characteristics.

**[0025]** The illustrative hierarchical storage system **100** includes a plurality of channel adapters **114** that communicate with storage array controllers **112** via a switched backplane **116**. The channel adapters **114** connect to a communication fabric, such as a storage array network (SAN) fabric, and receive data requests from servers and clients. A channel adapter **114** performs functions similar to operations of a host bus adapter that resides in a server including connecting to common networks such as Fibre Channel (FC) and Small Computer System Interfaces (SCSI) or internet SCSI (iSCSI) networks. Typically, multiple channel adapters **114** are used in a storage disk array based on the size of the network, amount of traffic conveyed, and utility of redundancy. The switched backplane **116** efficiently communicates requests from the channel adapters **114** to the storage array controllers **112** on redundant paths to ensure reliability.

**[0026]** In the illustrative system **100**, the storage array controllers **112** include processors **118** configured with cache memories **106** that can form one level of the storage hierarchy. In some embodiments, the processors **118** are high-performance processors arranged in a configuration typical of servers. The caches **106** ensure data integrity and hide disk latency. The storage array controllers **112** communicate information between the backplane **116** and the multiple-level storage devices **104**. More specifically, the storage array controllers **112** interface with disk adapters **120** and **122** that control the multiple-level physical storage devices **104**.

**[0027]** The illustrative embodiment includes two levels of rotational storage including a relatively higher performance level of storage **108** such as Fibre Channel and/or Small Computer Systems Interface (SCSI) storage. The storage array controllers **112** connect to the relatively higher performance storage **108** via FC and/or SCSI disk adapters **120**. The second level of rotational storage is a relatively lower performance level **110** such as Serial AT-Attached (SATA) storage. The storage array controllers **112** connect to the relatively lower performance storage **110** via SATA disk adapters **122**. The disk adapters **120** and **122** control the respective storage arrays **108** and **110** to improve data availability and read/write performance. In an illustrative system **100**, the disk adapter **120** can support either SCSI or Fibre Channel Arbitrated Loop (FC-AL) disk interfaces.

[0028] Referring to **FIGURE 2**, a schematic block diagram depicts an example of a suitable storage array controller **112** that can be used in an embodiment of an hierarchical storage system **100**. The storage array controller **112** can have multiple processors **200** with interfaces to the switched backplane **116** and input/output channel interfaces **208** to the disk adapters **120** and **122**. The number of storage array controllers **112** scales to the number of storage devices in the arrays. Similarly, the number of interfaces within the storage array controllers **112** scales with the number of channel adapters **114**.

[0029] The embedded processors **200** generally are high-performance processors that are capable of transferring information at a high rate to support multiple storage devices in a scaleable storage array controller. A memory controller **202** is connected to the embedded processors **200** and operates as a hub device to transfer data among a network fabric, and the multiple levels of storage **104**. The illustrative memory controller **202** has multiple channels for communicating with a cache memory **212** to ensure sufficient bandwidth for data caching and program execution. The memory controller **202** has sufficient performance to manage the multiple I/O channels **208**.

[0030] An Ethernet interface **206** communicates with the memory controller **202** via an input/output (I/O) controller hub **204** that includes an integrated Fast Ethernet Media Access Controller (MAC) to form a local area network (LAN) management interface port. The I/O controller hub **204** includes typical peripheral interfaces including Universal Serial Bus (USB), Peripheral Component Interconnect (PCI), Integrated Drive Electronics (IDE), General Purpose Input/Output (GPIO), System Management Bus (SMBus), and the like.

[0031] The number of channel adapters **114** that connect a storage array to a network fabric most appropriately relates to the size of the network. For example, a high-end storage disk array in a storage array network (SAN) configuration can utilize sixteen or more channel adapters **114**. In specific embodiments, a channel adapter **114** can connect a PCI-X bus to a switch fabric interconnect device **210** and a controller such as a Gigabit Ethernet or Fibre Channel controller based upon the type of network fabric, iSCSI or Fibre Channel.



[0032] Referring to **FIGURE 3**, a schematic block diagram shows an example of a disk adapter **120** that can be used for one of the levels of storage in the illustrative embodiment of the hierarchical storage system **100**. The disk adapter **120** connects to the storage array controller **112** through a bus and controls access to the storage subsystem **108**. In the illustrative embodiment, the storage subsystem can be based on a suitable technology, for example Fibre Channel-Arbitrated Link (FC-AL) and/or Ultra Small Computer Systems Interface (SCSI) technology. The disk adapter **120** includes an input/output processor **300** that controls operations of a disk controller **306**, for example a dual channel PCI-X SCSI or Fibre Channel controller. The I/O processor **300** functions as a main controller and manages both adapters and buffers data, for example in memory **302**. In various embodiments, the I/O processor **300** can perform some functions that otherwise can be executed in the storage array controllers **112**, for example management of a Redundant Array of Independent Disks (RAID) software stack and the like. In various examples, the I/O processor **300** can interface to devices such as Fibre Channel (FC) controllers, SCSI controllers, PCI bridges, Gigabit Ethernet controllers, other PCI/PCI-X devices, and the like. The disk adapter **120** communicates with the storage array controller **112** via a bridge **304** that makes the connection via a bus, such as a PCI-X bus.

[0033] Referring to **FIGURE 4**, a schematic block diagram shows an example of a disk adapter **122** that can be used for another of the levels of storage **110** in the illustrative embodiment of the hierarchical storage system. The disk controller **122** includes one or more input/output controllers **400**, each having one or more Serial ATA switches **402**. The SATA switches **402** communicate with the storage array **110** via a backplane **404**. The SATA switches **402** can be used in disk arrays **122**, for example in which embedded Network Attached Storage (NAS) heads and RAID controllers use multiple switches **402** to connect serial ATA drives over a high speed backplane **404**.

[0034] In an illustrative embodiment, the SATA switches **402** can be a Serial ATA host bus adapter with multiple Serial ATA channels communicating data at high speed, for example 1.5 Gigabits/sec. The SATA switches **402** accept host commands through a bus, such as a PCI-X bus, process the commands, and transmit the processed commands to one of multiple serial ATA devices.

[0035] Referring to **FIGURES 5A and 5B**, a schematic block diagram and a pictorial diagram show an embodiment of a storage system **500** comprising a cabinet **502**, a disk array **504** enclosed within the cabinet **502** and containing an hierarchy of storage disks of at least two types **506** and **508**. The hierarchy of storage disks **506** and **508** has a respective class hierarchy. The storage system **500** further comprises a controller **510**. The controller **510** is enclosed within the cabinet **502** and coupled to the disk array **504**. The controller **510** can execute an hierarchical storage management capability that selectively controls access to the hierarchy of storage disks. Through virtualization techniques, a disk array **504** may be a virtual aggregation of several disparate disk arrays under a virtualization disk array controller and may or may not be confined within a physical cabinet **502**. Therefore some embodiments may omit the cabinet **502**.

[0036] The storage system **500** can further comprise a cache memory **512** coupled to the controller **510** and operable as an additional storage level in the class hierarchy. In some embodiments, the hierarchy of storage devices has a performance hierarchy. In other embodiments, the hierarchy is based on economics or cost.

[0037] The depicted storage system **500** includes two controllers **510** that are mutually connected to a storage drives **506** and **508**, for example arrays of disk drives. The storage devices **506** and **508** communicate information including data and commands among many host systems **514** via one or more network fabrics **516**. The depicted system includes an element manager **518**, which resides on a management appliance **520**, that also connects to the network fabrics **516**. The disclosed technique for managing command ordering generally executes on one or more of the controllers **510**, although some systems can possibly execute the technique in other processors or controllers, such as the element manager **518** or otherwise in the management appliance **520**. The controller pair **510** connects to interface loop switches **522** for a first storage level, such as SCSI and or Fibre Channel (FC) switches, and switches **524** for a second storage level, such as SATA switches.

[0038] The particular embodiment includes relatively higher performance Small Computer Systems Interface (SCSI) and/or Fibre Channel (FC) disks supplying storage for a first level of hierarchical storage **506** and relatively lower performance Serial AT-attached (SATA) disks supplying storage for a second level of hierarchical storage **506**.

A process executable in the controller **510** allocates storage capacity of the SATA disks to low access customer data and to short-term and unpredictable storage usage.

[0039] Referring to **FIGURE 6**, a schematic block diagram illustrates an embodiment of a storage system **600** that can execute a method for managing information storage. The method involves coupling an hierarchy of storage devices of at least three types **602**, **604**, and **606** having a respective class hierarchy within a storage array **608**. The method further comprises selectively controlling information access to the hierarchy of storage devices within the storage array **608**. The storage system **600** has a disk array rotational storage hierarchy including an hierarchically inferior level of lower-price, for example in a range from approximately 1/3 to 1/5 the price of Fibre Channel disks, and/or lower performance Serial ATA (SATA) drives **606** which can be used for temporary/unexpected, although possibly mission-critical, storage, and/or hierarchical storage management (HSM)-type low usage user data storage.

[0040] In a particular embodiment, the storage system **600** includes a firmware-based **610** Hierarchical Storage Management (HSM) system within a disk array **608** utilizing both Fibre Channel (FC) **604** and SATA **606** disk drives. The array firmware **610** can reserve the SATA storage **606** for usage as uncommitted/unstructured storage in various applications. Information files that are infrequently used are tolerant of lower performance and may be appropriate for usage with the SATA storage **606**. The SATA storage **606** can be used for temporary, although critical, uncommitted, non-volatile storage that may or may not be pre-allocated into specific logical units (LUNs). Particular applications that may use temporary storage include LUN mirror resynchronization, storage of a mirror volume shadow, snapshot liability migration, and storage overdraft protection.

[0041] The SATA storage **606** may also be used for intra-array storage, for example for storage of LUN snapshots and full LUN copies, and for inter-array temporary storage of LUN copies. The temporary SATA storage **606** can supply extra storage space while avoiding constraints imposed by LUN copy licenses, pre-assignment, or reconfiguration. Usage of the extra storage space generally is application or condition-dependent and can arise unexpectedly, imposing temporary and sometimes critical storage demands.

[0042] The hierarchical array 608 can be activated via commands from a host system 612, for example a host running a backup software application.

[0043] The higher performance drives 604, such as FC/SCSI, and lower performance drives 606, such as SATA drives, combine within the same array 608 with firmware 610 empowered to make available some SATA storage for low access customer data, for example for usage in firmware-based Hierarchical Storage Management (HSM), and to retain some SATA storage for critical short-term and unpredictable storage usage that is not appropriate for cache and shared-memory 602 or high-performance storage or storage for which no pre-allocated space is set aside.

[0044] In one example of an application that can utilize hierarchical storage, a storage system performs primary mirror shadowing using a storage array and a controller. The controller predefines a storage array volume as a primary volume that is subsequently paired with a secondary volume, and emulates a primary logical device and multiple secondary logical devices. The emulated secondary logical devices include a shadow logical device. The controller can track volumes and logical devices using a pointer, and instantaneously evoke a volume copy by a pointer exchange. The shadow logical device can be emulated using the SATA storage. The controller reserves a pool of logical devices for usage as a secondary volume for subsequent pairing to a predefined primary volume. SATA storage 606 can be used for the logical device pool.

[0045] In another example of an application that can utilize hierarchical storage, SATA storage 606 can be used to implement backup window overdraft protection. In some circumstances, a critical backup operation may be aborted when the backup window is exceeded, resulting in lost data and a possible inability to recover from a disaster event. In a typical backup operation, backup software begins a data backup with a pick list generated from resolving files to be backed up into constituent LUNs/Tracks/Sectors/ranges. The level of abstraction created by Logical Volume Manager (LVM) striping and expansions can cause logical objects or files to unexpectedly cross many logical units (LUNs) and even more physical disks. Because a file may be thinly striped across many LUNs, and use only a fraction of each LUN, Zero-Downtime Backup (ZDB) products create full copies or snapshots of every entire LUN involved, possibly engaging many times more space than required. A non-ZDB backup

can engage the entire primary data set of disks. If time runs out in a non-ZDB condition, the backup is forfeited. Overdraft protection is appropriate, for example, in conditions or circumstances that a customer with no licensed LUN copy or snapshot functionality, or not currently being enabled for Zero Downtime Backup, is about to exceed the backup window, thus losing the entire backup. Usage of hierarchical storage, for example the SATA level 606 of hierarchical storage, enables overdraft protection to salvage of the endangered backup using temporary non-volatile storage of sufficient capacity.

[0046] The illustrative window overdraft protection technique defines and uses inter-LUN pick list snapshots to prevent backup forfeiture utilizing only a fraction of the snapshot or full copy space that is typically used. For example, if the non-ZDB backup window is likely to be exceeded, backup software can choose a demarcation point in the pick list, and instruct the array to create a new type of internal copy or snapshot, for example using temporary SATA storage 606, based on the inter-LUN pick list. The backup software can finish the backup by reading from the LUN-agnostic snapshot, instead of the primary disk, for the duration of the backup.

[0047] The SATA storage 606 makes available additional storage space, while avoiding constraints of LUN copy licenses, pre-assignment, or pre-configuration, in conditions that additional storage is desirable due to unexpected events.

[0048] The various functions, processes, methods, and operations performed or executed by the system can be implemented as programs that are executable on various types of processors, controllers, central processing units, microprocessors, digital signal processors, state machines, programmable logic arrays, and the like. The programs can be stored on any computer-readable medium for use by or in connection with any computer-related system or method. A computer-readable medium is an electronic, magnetic, optical, or other physical device or means that can contain or store a computer program for use by or in connection with a computer-related system, method, process, or procedure. Programs can be embodied in a computer-readable medium for use by or in connection with an instruction execution system, device, component, element, or apparatus, such as a system based on a computer or processor, or other system that can fetch instructions from an instruction memory or storage of any appropriate type. A computer-readable medium can be any structure, device, component, product, or other

means that can store, communicate, propagate, or transport the program for use by or in connection with the instruction execution system, apparatus, or device.

[0049] The illustrative block diagrams and flow charts depict process steps or blocks that may represent modules, segments, or portions of code that include one or more executable instructions for implementing specific logical functions or steps in the process. Although the particular examples illustrate specific process steps or acts, many alternative implementations are possible and commonly made by simple design choice. Acts and steps may be executed in different order from the specific description herein, based on considerations of function, purpose, conformance to standard, legacy structure, and the like.

[0050] Referring to **FIGURE 7**, a flow chart illustrates an embodiment of a method of managing information storage in a storage system **700** comprising enclosing an hierarchy of storage devices **702** of at least three types with a respective class hierarchy within a storage array, and selectively controlling information access to the hierarchy of storage devices within the storage array **704**. In some applications and environments, the class hierarchy can be a performance hierarchy so that the different storage types have different levels of performance. In other applications and environments, the class hierarchy can be a cost or economic hierarchy so that different storage types have different costs. Some embodiments combine performance and economic bases for selection of the levels of hierarchy.

[0051] In a particular embodiment, the storage system combines an hierarchy of storage devices into the storage array including at least a volatile shared memory, a relatively higher performance non-volatile storage, and a relatively lower performance non-volatile storage **706**.

[0052] In a more specific embodiment, the storage system combines an hierarchy of storage devices into the storage array including at least a solid state cache and shared memory supplying storage for a first level of hierarchical storage, relatively higher performance Small Computer Systems Interface (SCSI) and/or Fibre Channel (FC) storage devices supplying storage for a second level of hierarchical storage, and relatively

lower performance Serial AT-attached (SATA) storage devices supplying storage for a level of hierarchical storage **708**.

**[0053]** The method can include the action of allocating storage capacity of the SATA storage devices to low access customer data and to short-term and unpredictable storage usage **710**.

**[0054]** In some applications and conditions, the method can include the action of allocating SATA storage as uncommitted and unstructured storage **712**.

**[0055]** Some applications can include the action of allocating SATA storage for intra-array and/or inter-array data transfers including logical unit (LUN) copies and snapshots **714**.

**[0056]** While the present disclosure describes various embodiments, these embodiments are to be understood as illustrative and do not limit the claim scope. Many variations, modifications, additions and improvements of the described embodiments are possible. For example, those having ordinary skill in the art will readily implement the steps necessary to provide the structures and methods disclosed herein, and will understand that the process parameters, materials, and dimensions are given by way of example only. The parameters, materials, and dimensions can be varied to achieve the desired structure as well as modifications, which are within the scope of the claims. Variations and modifications of the embodiments disclosed herein may also be made while remaining within the scope of the following claims. For example, the disclosed apparatus and technique can be used in any database configuration with any appropriate number of storage elements. Although, the database system discloses magnetic disk storage elements, any appropriate type of storage technology may be implemented. The system can be implemented with various operating systems and database systems. The control elements may be implemented as software or firmware on general purpose computer systems, workstations, servers, and the like, but may be otherwise implemented on special-purpose devices and embedded systems.